**APPENDIX**

**CONFLICT OF INTEREST CODE**

**OF THE**

**CITY OF ONTARIO**

**Amended October 21, 2014**

**EXHIBIT “A”**

 The Mayor, Members of the City Council and Planning Commission, the City

Manager, the City Attorney, the City Treasurer, and All Other City Officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18701(b), are NOT subject to the City's Code but are subject to the disclosure requirements of the Act (Government Code Section 87200 et sec.). [Regs. § 18730(b)(3)]

**OFFICIALS WHO MANAGE PUBLIC INVESTMENTS**

It has been determined that the positions listed below are Other City Officials

who manage public investments. These positions are listed here for information

purposes only.

Administrative Services /Finance Director

Investment Officer

Financial Consultant

 Individuals holding one of the above - listed positions may contact the FPPC for assistance or written advice regarding their filing

obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a

position is covered by §87200.

**DESIGNATED POSITIONS**

**GOVERNED BY THE CONFLICT OF INTEREST CODE**

**DESIGNATED EMPLOYEES' DISCLOSURE CATEGORIES**

**TITLE OR FUNCTION**  **ASSIGNED**

Accounting Manager 4

Administrative Officer 6

Administrative Specialist 6

Assessment District Specialist 2, 3, 6, 7

Assistant City Clerk/Records Management Director 6

Assistant City Engineer 2, 3, 6, 7

Assistant City Manager 1, 2

Assistant Civil Engineer 2, 3, 6, 7

Assistant Director of Fleet & Municipal Services 2, 3, 6, 7

Assistant Engineer 2, 3, 6, 7

Assistant Library Director 2, 3, 6

Assistant Planner 2, 3, 6, 7

Assistant Public Services Director 6

Assistant Planning Director 2, 3, 6, 7

Assistant Recreation/Community Services Director 2, 3, 6

Associate Civil Engineer 2, 3, 6, 7

Associate Engineer 2, 3, 6, 7

Associate Landscape Planner 2, 3, 6, 7

Associate Library Director 6

Associate Planner 2, 3, 6, 7

Benefits Supervisor 6

Building Inspection Manager 2, 3, 6, 7

Building Official 2, 3, 6, 7

Building Plans Examiner 7

Building Plans Specialist 7

Business Operations Director 1, 2

City Attorney (not filing under Gov Code § 87200) 1, 2

City Engineer 2, 3, 6, 7

City Prosecutor 1, 2

Code Enforcement Director 2, 6, 7

Code Enforcement Manager 6, 7

Code Enforcement Officer 7

Combination Building Inspector 6, 7

Community& Public Services Director 2, 3, 6

Custodial Services Supervisor 6

Database Administrator 6

Departmental Administrator 1, 2

Deputy Fire Chief 2, 6, 7

Deputy Police Chief 2, 6, 7

Development Director 1, 2

Development Manager 3, 6

Economic Development Administrator 1, 2

Economic Development Coordinator 2

Economic Development Director 1 ,2

Economic Development Manager 1 ,2

Economic Development Specialist 2

Emergency Manager 5

EMS Nurse Administrator 6, 7

Engineering Assistant 6

Engineering Assistant - GIS 6

Environmental Programs Manager 5,7

Environmental Water/Waste Engineer 2, 3, 5, 7

Facilities Maintenance Supervisor 6

Financial Analyst 5

Fire Battalion Chief 6, 7

Fire Chief 2, 6, 7

Fire Prevention Inspector 6, 7

Fire Safety Specialist 6

Fiscal Services Director 1, 2

Fleet Services Manager 6

Forensic Supervisor 6

Housing & Municipal Services Director 1, 2

Housing Director 2, 3, 6

Housing Manager 6

Human Resources Director 6

Information Technology Applications Manager 6

Information Technology Director 6

Information Technology Operations Manager 6

Information Technology Systems Manager 6

Internal Auditor 1

Landscape Planner 2, 3, 7

Library Director 6

Management Analyst 6

Management Services Director 6

Municipal Services Director 6

Municipal Services Manager 6

Museum Director 6

Neighborhood Improvement Specialist 1, 2

Parks and Maintenance Supervisor 6

Plan Check Engineer 2, 7

Planning Director 2, 3, 6, 7

Police Administrative Director 6

Police Captain 6, 7

Police Chief 6, 7

Police Lieutenant 6, 7

Principal Engineer 2, 3, 6, 7

Principal Planner 2, 3, 6, 7

Project Coordinator 2, 3, 6

Project Manager 2, 3, 6

Public Facility Maintenance Manager 6

Public Services Project Manager 6

Public Works Inspector 6, 7

Purchasing Assistant 6

Recreation /Community Services Supervisor 6

Redevelopment Manager 2, 3, 5

Redevelopment Program Analyst 2, 3

Revenue Services Director 6

Revenue Services Specialist 6

Revenue Services Supervisor 6

Risk Management Director 6

Senior Associate Civil Engineer 2, 3, 6, 7

Senior Associate Engineer 2, 3, 6, 7

Senior Code Enforcement Officer 7

Senior Combination Building Inspector 6, 7

Senior Deputy Fire Chief 2, 3, 7

Senior Environmental Technician 6

Senior Financial Analyst 5

Senior Fire Safety Specialist 6

Senior Human Resources Analyst 6

Senior Landscape Planner 6

Senior Legislative Analyst 6

Senior Management Analyst 6

Senior Neighborhood Improvement Specialist 1, 2

Senior Plan Check Engineer 2, 7

Senior Planner 2, 3, 6, 7

Senior Project Coordinator 2, 3, 6

Senior Project Manager 2, 3, 6

Senior Public Works Inspector 6, 7

Senior Systems Analyst 6

Senior Telecommunications Analyst 5

Senior Recreation/Community Services Supervisor 6

Solid Waste Division Manager 6

Sold Waste Supervisor 6

Street Maintenance Supervisor 6

Supervising Building Inspector 6, 7

Supervising Code Enforcement Officer 6, 7

Supervising Public Works Inspector 6, 7

Survey Party Chief 6, 7

Sustainability Program Manager 6

Telecommunications Manager 6

Traffic/Transportation Manager 2, 3, 6, 7

Utilities Administrative Services Manager 6

Utilities Engineering Division Manager 6

Utilities General Manager 1, 2

Utilities Operations Division Manager 6

Utilities Program Analyst 6

Utilities Project Manager 2, 3, 6

Utilities Supervisor 6

Water Production Manager 6, 7

Water Quality Specialist 6, 7

Water Resources Manager 6, 7

Water/Sewer Maintenance Manager 6, 7

**BOARDS, COMMISSIONS AND COMMITTEES**

Library Board of Trustees 2, 3, 6

Museum Board Members 6

Oversight Board to the Successor Agency 1, 2, 3, 6

Recreation and Parks Commission Members 2, 3, 6

**CONSULTANTS**

Consultants shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The City Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The City Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

**EXHIBIT “B”**

**DISCLOSURE CATEGORIES**

 The disclosure categories listed below identify the types of investments, business entities, sources of income, or real property, which the Designated Employee must disclose for each disclosure category to which he or she is assigned.

 Category 1: All investments and business positions in, and sources of

income from, business entities that do business or own real property within jurisdiction of the City, plan to do business or own real property within the jurisdiction of the City within the next year, or have done business or owned real property within the jurisdiction of the City within the past two (2) years.

 Category 2: All interests in real property which is located in whole or in part

within, or not more than two (2) miles outside, the jurisdiction of the City.

 Category 3: All investments and business positions in, and sources of

income from, business entities that are engaged in land development, construction, or the acquisition or sale of real property within the jurisdiction of the City, plan to engage in such activities within the jurisdiction of the City within the next year, or have engaged in such activities within the jurisdiction of the City within the past two (2) years.

 Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions.

 Category 5: All investments and business positions in, and sources of

income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the City.

 Category 6: All investments and business positions in, and sources of

income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Employee's Department.

 Category 7: All investments and business positions in, and sources of

income from, business entities subject to the regulatory, permit, or licensing authority of the Designated Employee's Department, will be subject to such authority within the next year, or have been subject to such authority within the past two (2) years.